



Attorney's Docket No.: 42P11429

Patent

In re the Application of: Liu et al.
(inventor(s))

Application No.: 09/881,218

Filed: June 13, 2001

For: METHOD AND APPARATUS FOR TUNING A BRAGG GRATING IN A SEMICONDUCTOR
SUBSTRATE
(title)

Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450

Alexandria, VA 22313-1450

SIR: Transmitted herewith is a Response and Amendment Under 37 C.F.R. §§ 1.111 And 1.21 for the above application.

 Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a verified statement previously submitted.

 A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed.

 X No additional fee is required.

The fee has been calculated as shown below:

(Col. 1)		(Col. 2)		(Col. 3)	SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	Claims Remaining After Amd.		Highest No. Previously Paid For	Present Extra	Rate	Additional Fee	Rate	Additional Fee
Total Claims	* 11	Minus	** 29	0	X9	\$	X18	\$ 0.00
Indep. Claims	* 2	Minus	*** 3	0	X42	\$	X84	\$ 0.00
<div>First Presentation of Multiple Dependent Claim(s)</div>					+140	\$	+280	\$
					Total Add. Fee	\$	Total Add. Fee	\$ 0.00

* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

*** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on April 5, 2004
Date of Deposit

Yuko Tanaka
Name of Person Mailing Correspondence

Y. Tanaka April 5, 2004
Signature Date

_____ A check in the amount of \$ _____ is attached for presentation of additional claim(s).
_____ Applicant(s) hereby Petition(s) for an Extension of Time of _____ month(s) pursuant to
37 C.F.R. § 1.136(a).

_____ A check for \$ _____ is attached for processing fees under 37 C.F.R. § 1.17.

_____ Please charge my Deposit Account No. 02-2666 the amount of \$ _____.

A duplicate copy of this sheet is enclosed.


X The Commissioner of Patents and Trademarks is hereby authorized to charge payment of the
following fees associated with this communication or credit any overpayment to Deposit Account
No. 02-2666 (a duplicate copy of this sheet is enclosed):

X Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of
extra claims.

X Any extension or petition fees under 37 C.F.R. § 1.17.

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

Date: April 5, 2004



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Attorney Docket No.: 42P11429

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Liu et al.) Examiner: Pritchett, Joshua L.
)
Application No.: 09/881,218) Art Unit: 2872
)
Filed: June 13, 2001)
)
For: METHOD AND APPARATUS FOR)
TUNING A BRAGG GRATING IN A)
SEMICONDUCTOR SUBSTRATE)
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Alexandria, VA 22313-1450

RESPONSE AND AMENDMENT UNDER 37 C.F.R. §§ 1.111 AND 1.121

Sir/Madam:

In response to the Office Action mailed February 18, 2004, Applicants respectfully request the Examiner to enter the following amendments and consider the following remarks.